



FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. YO YOR919980460US2	SERIAL NO.: 09/989,770
	APPLICANT: Jack O. Chu et al.	
(Use several sheets if necessary)	FILING DATE: November 20, 2001	GROUP: 2815

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

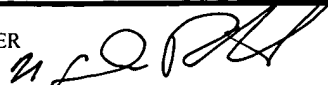
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
NSW	AA	5,019,882	05/28/1991	Solomon et al.	357	23.8	05/15/1989
NSW	AB	5,298,452	03/29/1994	Meyerson	437	81	02/21/1992
NSW	AC	5,659,187	08/19/1997	Legoues et al.	257	190	06/07/1995
NSW	AD	5,259,918	11/09/1993	Akbar et al.	156	610	06/12/1991
NSW	AE	5,442,205	08/15/1995	Brasen et al.	257	191	08/09/1993
NSW	AF	5,436,474	07/25/1995	Banerjee et al.	257	194	12/07/1994
NSW	AG	5,821,577	10/13/1998	Crabbe' et al.	257	288	11/30/1992
NSW	AH	5,221,413	06/22/1993	Brasen et al.	156	613	04/24/1991

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AI							
	AJ							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

NSW	AK	People et al. "Band Alignments Of Coherently Strained Ge _x Si _{1-x} /Si Heterostructures on <001> Ge _y Si _{1-y} Substrates" Appl. Phys. Lett. 48 (8); February 24, 1986; pp. 538-540.
NSW	AL	Rodder et al. "A 1.2 V, 0.1μm Gate Length CMOS Technology; Design And Process Issues"; IEDM Tech. Digest, 98-623; 1998.
NSW	AM	Arafa "A 70GHz _{fT} Low Operating Bias Self-Aligned p-Type SiGe MODFET"; IEEE Elec. Dev. Lett. Vol. 17 (12); December 1996; pp. 586-588.

EXAMINER 	DATE CONSIDERED 6/26/07
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
102	BA	5,241,197	08/31/1993	Murakami et al.	257	192	09/13/1991
102	BB	5,686,744	11/11/1997	Koviac	257	280	06/17/1996
102	BC	5,357,119	10/18/1994	Wang et al.	257	18	02/19/1993
102	BD	4,994,866	02/19/1991	Awano	357	16	01/05/1989
102	BE	5,285,088	02/08/1994	Sato et al.	257	192	09/16/1992
102	BF	5,350,940	09/27/1994	Rona	257	402	12/06/1991
102	BG	5,036,374	07/30/1991	Shimbo	357	23.2	04/11/1988
102	BH	5,561,302	10/01/1996	Candelaria	257	24	09/26/1994
102	BI	5,223,724	06/29/1993	Green, Jr.	257	194	03/13/1992

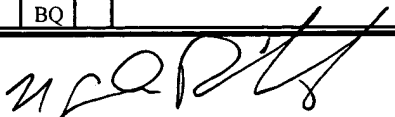
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	BJ							
	BK							
	BL							

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	BO	
	BP	
	BQ	

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8/26/03

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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)	
NS	CA	5,698,869	12/16/1997	Yoshimi et al.	257	192	09/13/1995	
NS	CB	5,216,271	03/01/1993	Takagi et al.	257	370	09/27/1991	
NS	CC	5,272,365	12/21/1993	Nakagawa et al.	257	194	05/26/1992	
NS	CD	5,726,487	03/10/1998	Sameshima et al.	257	616	10/28/1994	
NS	CE	5,665,981	09/09/1997	Banerjee et al.	257	66	11/22/1996	
NS	CF	5,461,250	10/24/1995	Burghartz et al.	257	347	08/10/1992	
NS	CG	5,451,800	09/19/1995	Mohammad	257	192	08/18/1994	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	CH							
	CI							
	CJ							
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)								
	CQ							
	CR							
	CS							
EXAMINER <i>NS</i>				DATE CONSIDERED <i>6/26/07</i>				

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WDR	DA	5,534,713	07/09/1996	Ismail et al.	257	24	05/20/1994
WDR	DB	6,059,895	05/09/2000	Chu et al.	148	33.1	05/13/1999
WDR	DC	5,523,592	06/04/1996	Nakagawa et al.	257	96	02/01/1994
	DD						
	DE						
	DF						
	DG						
	DH						

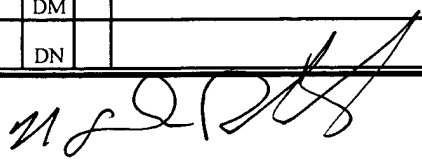
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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WDR	DI	JP 361294877	December 1986	Japan	257	18		
	DJ							
	DK							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	DL	
	DM	
	DN	

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6/28/02

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